

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	21295.57(H5653US)
First Inventor	Paul Harris
Title	Substrate Loading and Unloading Apparatus
Express Mail Label No.	EL 985878034 US

10/644185
08/20/03

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **26**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]
5. Oath or Declaration [Total Pages **4**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: **Express Mail Cover Sheet**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **29127** (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Maria M. Eliseeva

Registration No. (Attorney/Agent)

43,328

Signature

Maria Eliseeva

Date

08/20/2003

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,344

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Paul Harris
Examiner Name	Not Yet Assigned
Art Unit	Unknown
Attorney Docket No.	21295.57 (H5653US)

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

502233

Houston Eliseeva LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	750	375 Utility filing fee	750.00
1002	2002	330	165 Design filing fee	
1003	2003	520	260 Plant filing fee	
1004	2004	750	375 Reissue filing fee	
1005	2005	160	80 Provisional filing fee	

SUBTOTAL (1) (\$) 750.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 53 -20** = 33 X 18 = 594
Independent Claims 1 -3** = 0 X 0 = 0
Multiple Dependent =

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	2202	18	9 Claims in excess of 20	
1201	2201	84	42 Independent claims in excess of 3	
1203	2203	280	140 Multiple dependent claim, if not paid	
1204	2204	84	42 ** Reissue independent claims over original patent	
1205	2205	18	9 ** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 594

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65 Surcharge - late filing fee or oath	
1052	2052	50	25 Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130 Non-English specification	
1812	1812	2,520	2,520 For filing a request for <i>ex parte</i> reexamination	
1804	1804	920*	920* Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840* Requesting publication of SIR after Examiner action	
1251	2251	110	55 Extension for reply within first month	
1252	2252	410	205 Extension for reply within second month	
1253	2253	930	465 Extension for reply within third month	
1254	2254	1,450	725 Extension for reply within fourth month	
1255	2255	1,970	985 Extension for reply within fifth month	
1401	2401	320	160 Notice of Appeal	
1402	2402	320	160 Filing a brief in support of an appeal	
1403	2403	280	140 Request for oral hearing	
1451	1451	1,510	1,510 Petition to institute a public use proceeding	
1452	2452	110	55 Petition to revive - unavoidable	
1453	2453	1,300	650 Petition to revive - unintentional	
1501	2501	1,300	650 Utility issue fee (or reissue)	
1502	2502	470	235 Design issue fee	
1503	2503	630	315 Plant issue fee	
1460	1460	130	130 Petitions to the Commissioner	
1807	1807	50	50 Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180 Submission of Information Disclosure Stmt	
8021	8021	40	40 Recording each patent assignment per property (times number of properties)	
1809	2809	750	375 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	750	375 For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	750	375 Request for Continued Examination (RCE)	
1802	1802	900	900 Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	Maria M. Eliseeva	Registration No. (Attorney/Agent)	43,328	Telephone	781-863-9991
Signature	<i>Maria Eliseeva</i>	Date	August 20, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.